

## Original research article

# Phenotypic switching mechanisms determine the structure of cell migration into extracellular matrix under the ‘go-or-grow’ hypothesis

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## ABSTRACT

A fundamental feature of collective cell migration is phenotypic heterogeneity which, for example, influences tumour progression and relapse. While current mathematical models often consider discrete phenotypic structuring of the cell population, in-line with the ‘go-or-grow’ hypothesis (Hatzikirou et al., 2012; Stepien et al., 2018), they regularly overlook the role that the environment may play in determining the cells’ phenotype during migration. Comparing a previously studied volume-filling model for a homogeneous population of generalist cells that can proliferate, move and degrade extracellular matrix (ECM) (Crossley et al., 2023) to a novel model for a heterogeneous population comprising two distinct sub-populations of specialist cells that can either move and degrade ECM or proliferate, this study explores how different hypothetical phenotypic switching mechanisms affect the speed and structure of the invading cell populations. Through a continuum model derived from its individual-based counterpart, insights into the influence of the ECM and the impact of phenotypic switching on migrating cell populations emerge. Notably, specialist cell populations that cannot switch phenotype show reduced invasiveness compared to generalist cell populations, while implementing different forms of switching significantly alters the structure of migrating cell fronts. This key result suggests that the structure of an invading cell population could be used to infer the underlying mechanisms governing phenotypic switching.

## 1. Introduction

Phenotypic heterogeneity profoundly impacts tumour behaviour and is a hallmark feature driving post-treatment recurrence [1]. Collective cell migration, which can be crucial in understanding various stages of tumour progression, often involves distinct cell phenotypes with varying motility and proliferative capacities [2,3]. Mathematical approaches to studying these processes often use models formulated as reaction–diffusion equations with phenotypic structuring [4,5], where cells are assumed to undergo random, undirected movement and grow logistically to some maximum capacity, similar to the classical Fisher–KPP model [6,7].

It is often observed experimentally that individual cells are either proliferative or motile [8], but not both [9], representing a trade-off known as the ‘go-or-grow’ hypothesis [10,11]. Numerous mathematical models have been proposed to study the migration–proliferation dichotomy of a phenotypically structured population of cells, such

as those that simulate glioblastoma growth [12]. Models of this nature consider various phenotypic switching mechanisms, derive analytical expressions for the minimum travelling wave speed of the migrating front [13–16], or include more complex non-linear diffusion terms [17]. Crucially, however, cell phenotypes and their functions are fundamentally dependent on external cues, such as contact with neighbouring cells or interactions with the extracellular matrix (ECM) – the highly complex network of proteins and other macromolecules that cells reside within – which reduces the available space for migration and provides structure and chemical cues to guide migration [18–20]. Despite the increasing evidence for phenotypically structured cell populations, few studies have included the role of the ECM in models for cell migration under the go-or-grow hypothesis, to consider how it might affect the phenotypic structure of invading fronts and their speed. Furthermore, despite several experimental results supporting the existence of leader and follower cell sub-populations during collective cell migration [21,22], other findings do not support such

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hypotheses [23,24], possibly due to the use of different cell types or experimental conditions.

To investigate the role of the ECM further, this work compares a model of a homogeneous population of generalist cells that can proliferate, move and degrade ECM to a model of a heterogeneous population comprising two sub-populations of specialist cells that can either move and degrade ECM or proliferate. This continuum model for two distinct cell phenotypes and ECM dynamics is derived from first principles (as the limit of an underlying individual-based model) to accurately account for individual cell mechanisms at the population level. A number of different possible phenotypic switching mechanisms are considered, including random switching and both cell- and ECM-dependent switches in various forms, to explore their impact on the speed and phenotypic structure of invading cell populations.

### 1.1. Layout of the paper

In Section 2, we begin by describing the underlying assumptions of the models we study. We start by reviewing the details of the model for a population of homogeneous generalist cells in one spatial dimension that was first derived in [25]. Subsequently, we extend this model to a heterogeneous population comprising two distinct sub-populations of specialist cells that can either move and degrade ECM or proliferate, in order to introduce phenotypic heterogeneity into the cell population (see Section 2.2 and Appendix A). In Section 3, the solutions of these models are studied numerically for a variety of different phenotypic switching functions. We find that specialist cell populations with the ability to switch phenotype may invade faster than a generalist cell population, and that the choice of phenotypic switching mechanism drastically impacts the phenotypic structure of migrating cell fronts, such that the leading cell sub-population differs between switching functions. The phenotypic structure of the invading cell population could potentially therefore be used to predict the underlying switching mechanism. In Section 4, we discuss these findings, the possible applications and potential avenues for future research.

## 2. Mathematical models

It is well-known that cells move in response to gradients in local cell volume fractions, and in response to nearby environmental features, such as the ECM, by haptotaxis, for example [26]. Many mathematical models have been developed that include non-linear terms to describe these processes, and non-local reaction terms to describe the proliferation of cells to fill the surrounding available space [27,28].

To invade into surrounding healthy tissues, many tumours must overcome physical barriers to migration, such as the ECM. In order to do this, tumour cells have developed mechanisms such as the ability to remodel, reorient and degrade elements of the ECM [29,30] through the production of specific matrix degrading enzymes, such as matrix metalloproteases (MMPs), that act in very close proximity to their cell of origin before decaying [31–33]. Since the timescale of ECM degradation is much longer than the timescale of intermediate processes, such as MMP decay, we employ the simplifying assumption that cells directly degrade the ECM [34]. In this work, we focus on investigating the simplest possible problem — that of the role of phenotypic heterogeneity in cell invasion into an ECM that is devoid of cells.

This study focuses on cell movement and proliferation, as restricted by volume filling assumptions that entail both of these processes being limited by the presence of other surrounding cells and ECM, and on the degradation of ECM by direct contact with the cells. We begin by presenting two deterministic, continuum models for cell migration into the ECM that have been derived by coarse-graining underlying individual-based models to give rise to a corresponding population-level description (see schematic in Fig. 1). The first model considers a homogeneous generalist cell population invading into the ECM, as is often studied in standard models for collective cell migration [35], and

builds on similar models for cell migration into the ECM studied in [36, 37]. To further extend this previous work, we introduce a second model describing a population of specialist cells consisting of two distinct phenotypes, in line with evidence supporting the existence of separate proliferating and migrating populations [8]. This model extends those presented in [11,38,39] to include the ECM and its degradation by cells, as well as volume-filling effects. We then compare the resulting structure of travelling wave solutions of the models and investigate differences between the migrating cell population distributions and invasion speeds.

### 2.1. A model for a homogeneous generalist cell population invading into the ECM

We first consider a homogeneous generalist population of cells that (under volume-filling assumptions) is motile, proliferative and degrades the ECM. Previous models considered cell migration into the ECM without volume-filling assumptions [36,37]; these models comprised two coupled differential equations with non-linear cross-dependent diffusion and logistic growth. The differential equation model for generalist cells considered here describes the evolution of cell and ECM densities under volume-filling assumptions, where the movement and proliferation of cells is reduced in higher volume fraction regions. In [25], this model was derived from an underlying one-dimensional, on-lattice, individual-based model, and its travelling wave solutions were studied.

To motivate later comparisons with a heterogeneous cell population, we re-introduce this model here with non-dimensional weightings of the cells towards proliferation,  $\theta_{G,P} \in [0, 1]$ , degradation of ECM,  $\theta_{G,D} \in [0, 1]$ , and movement,  $(1 - \theta_{G,D} - \theta_{G,P}) \in [0, 1]$ , that distribute a cells' weighting across different functions. The non-dimensional volume fractions of the generalist cell population and corresponding ECM are denoted as  $u_G(x, t)$  and  $m_G(x, t)$ , respectively, and their dynamics are governed by the following system:

$$\frac{\partial u_G}{\partial t} = (1 - \theta_{G,P} - \theta_{G,D}) \frac{\partial}{\partial x} \left[ (1 - u_G - m_G) \frac{\partial u_G}{\partial x} + u_G \frac{\partial}{\partial x} (u_G + m_G) \right] + \theta_{G,P} u_G (1 - u_G - m_G), \quad (1)$$

$$\frac{\partial m_G}{\partial t} = -\theta_{G,D} \lambda_G m_G u_G, \quad (2)$$

where  $x \in \mathbb{R}$  and  $t \geq 0$ . The first term inside the square brackets on the right-hand side of Eq. (1) models the undirected movement (i.e., diffusion) of the generalist cells, where this movement is prevented by the presence of other cells and ECM. The second term inside the square brackets models movement of the cells down the gradient of the total volume fraction of both cells and ECM,  $u_G + m_G$ , whereas the last (reaction) term describes proliferation of cells, which is assumed to be logistic up to a carrying capacity in the total of the cell and ECM volume fractions (non-dimensionalised to unity). The parameter  $\lambda_G \in \mathbb{R}_+$  is the rescaled ECM degradation rate and when  $\lambda_G = 0$  this model may simplify to a Fisher–KPP model with appropriately rescaled parameters [25]. We employ the following initial conditions:

$$u_G(x, 0) = \begin{cases} 1, & \text{if } x < \alpha, \\ 0, & \text{if } x \geq \alpha, \end{cases} \quad (3)$$

$$m_G(x, 0) = \begin{cases} 0, & \text{if } x < \alpha, \\ m_0, & \text{if } x \geq \alpha, \end{cases} \quad (4)$$

where  $m_0 \in [0, 1)$  corresponds to the volume fraction of ECM ahead of the cells, and  $\alpha \in \mathbb{R}_+$  defines the width of the region initially occupied by the cells. We complement this model with the following boundary conditions:  $u_G$  and  $\partial u_G / \partial x \rightarrow 0$  as  $x \rightarrow \infty$ . Previous studies show that, under these conditions, travelling wave solutions can be observed, whose speeds depend on the initial volume fraction of ECM ahead of the invading wave of cells and the ECM degradation rate [25,37]. Examples of these solutions, and their numerically estimated travelling wave speeds as the parameters  $\theta_{G,D}$  and  $\theta_{G,P}$  vary, are shown in Fig. 2.

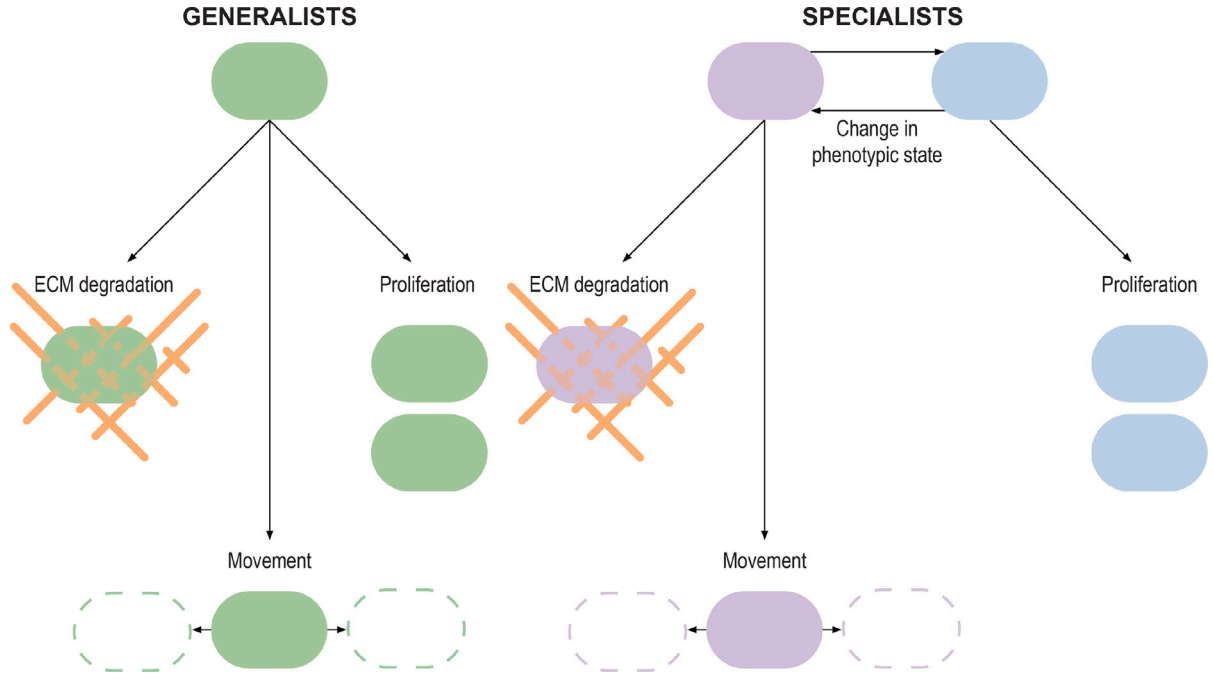


Fig. 1. Schematic representation of the model for a homogeneous generalist population of cells, and the model for a heterogeneous specialist population of cells.

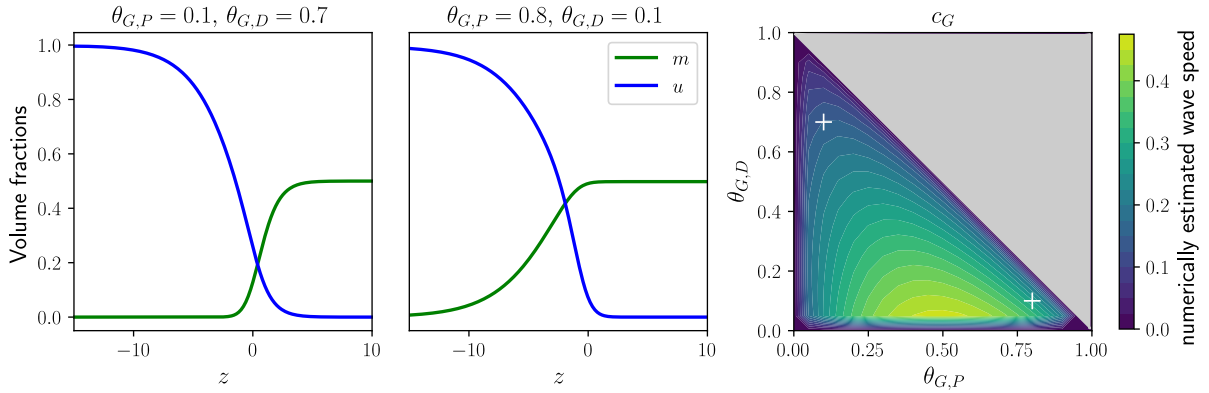


Fig. 2. Plots of the travelling wave profile of the system (1)–(2) subject to the initial conditions for the cells as in Eq. (3) and for the ECM as in Eq. (4) for different values of  $\theta_{G,D}$  and  $\theta_{G,P}$  and translated into the travelling wave co-ordinate,  $z = x - c_G t$ , where  $c_G$  is the numerically estimated travelling wave speed. The far right shows the contour plot of the numerically observed travelling wave speeds as we vary  $\theta_{G,D}$  and  $\theta_{G,P}$ . The initial ECM volume fraction ahead of the cells is  $m_0 = 0.5$ , the ECM degradation rate is  $\lambda = 1$ , and the width of the region initially occupied by migrating cells is  $\alpha = 1$  across all simulations. For more information regarding the numerical methods used see Appendix D.

## 2.2. A model for a heterogeneous specialist cell population invading into the ECM

Having introduced the model for a homogeneous generalist population of cells migrating into the ECM, we now extend it to investigate the impact of phenotypic heterogeneity.

To do this, we consider two different cell types, whose properties follow the well-studied go-or-grow hypothesis [40,41]. We introduce a discrete variable  $p \in \{1, 2\}$  that represents the cell phenotypic state. Cells in the phenotypic state  $p = 1$  are able to degrade the ECM and are motile but cannot proliferate, whereas cells in the phenotypic state  $p = 2$  are able to proliferate but do not degrade the ECM or move. The volume fraction of cells in phenotypic state  $p \in \{1, 2\}$  at time  $t \geq 0$  is denoted by  $u_p(x, t)$  and the volume fraction of ECM at  $t \geq 0$  is denoted by  $m(x, t)$ . The population model is obtained through coarse-graining an individual-based model (see Appendix A) and, following a non-dimensionalisation (see Appendix B), is given by the following system:

$$\frac{\partial u_1}{\partial t} = (1 - \theta_{S,D}) \frac{\partial}{\partial x} \left[ (1 - u_1 - u_2 - m) \frac{\partial u_1}{\partial x} + u_1 \frac{\partial}{\partial x} (u_1 + u_2 + m) \right] + u_2 \gamma_{21}(u_1, u_2, m) - u_1 \gamma_{12}(u_1, u_2, m), \quad (5)$$

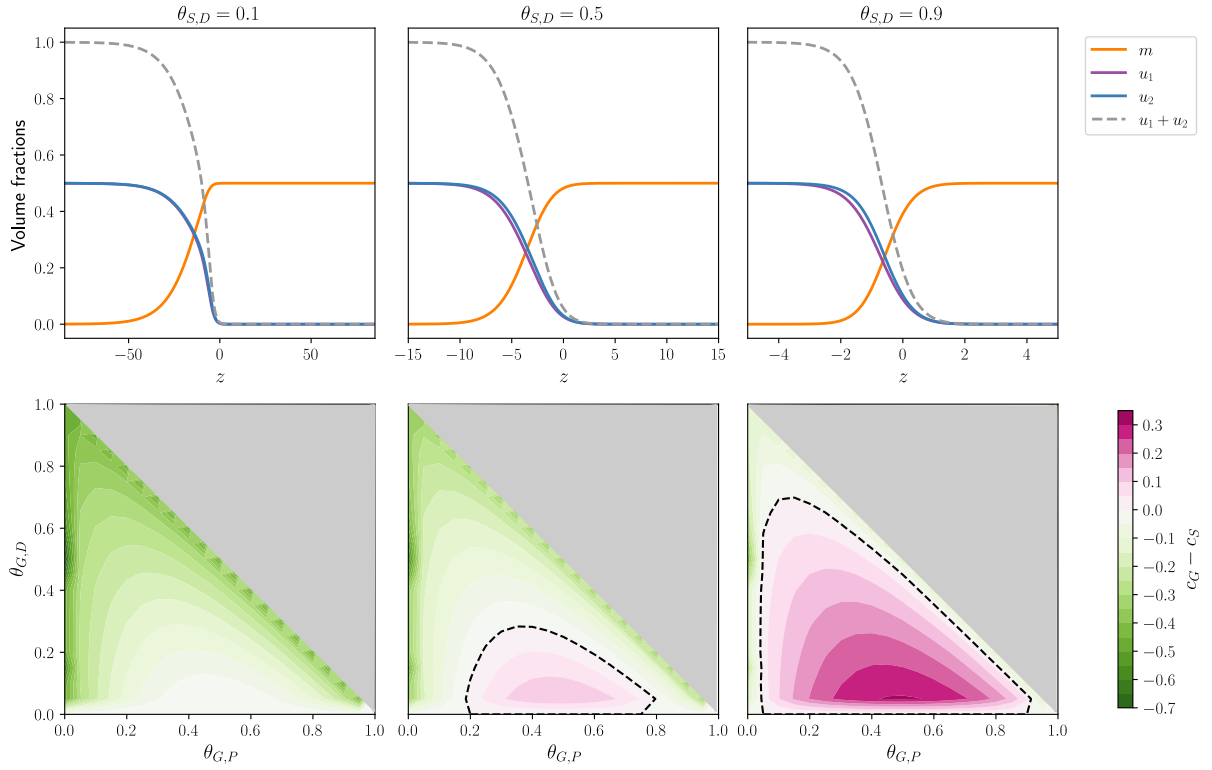
$$\frac{\partial u_2}{\partial t} = \theta_{S,P} u_2 (1 - u_1 - u_2 - m) - u_2 \gamma_{21}(u_1, u_2, m) + u_1 \gamma_{12}(u_1, u_2, m), \quad (6)$$

$$\frac{\partial m}{\partial t} = -\theta_{S,D} \lambda m u_1, \quad (7)$$

where  $x \in \mathbb{R}$  and  $t \in \mathbb{R}_+$ . Here,  $\lambda \in \mathbb{R}_+$  is the rescaled rate of ECM degradation by cells in phenotypic state 1, whilst  $\theta_{S,D} \in [0, 1]$  describes the weighting of cells in phenotypic state 1 towards degrading ECM. Similarly,  $\theta_{S,P}$  describes the weighting of cells in phenotypic state 2 towards proliferation, where we set  $\theta_{S,P} = 1$  for the duration of this study, and

$$\gamma_{12} : \mathbb{R}_+^3 \rightarrow \mathbb{R}_+ \quad \text{and} \quad \gamma_{21} : \mathbb{R}_+^3 \rightarrow \mathbb{R}_+,$$

are the non-dimensional phenotypic switching functions, where  $\gamma_{12}$  is the phenotypic switching function from state 1 to 2 (and vice versa for  $\gamma_{21}$ ).



**Fig. 3.** The top row shows plots of the travelling wave profile of the system (5)–(7) subject to the initial conditions for the cells as in Eq. (8) and for the ECM as in Eq. (4) for different values of  $\theta_{S,D}$  for the case of constant switching (see Table 1) and translated into the travelling wave co-ordinate,  $z = x - c_S t$ , where  $c_S$  is the numerically estimated travelling wave speed. The bottom row shows contour plots displaying the difference between the numerically observed travelling wave speed of the homogeneous generalist population, system (1)–(2) subject to the initial conditions (3)–(4), and the heterogeneous specialist population, system (5)–(7) subject to the initial conditions for the cells as in Eq. (8) and for the ECM as in Eq. (4). The regions coloured in pink display the parameter regimes where the difference between the travelling wave speeds,  $c_G - c_S$ , is positive, meaning generalist cells invade faster than specialists, and regions are coloured in green when this difference is negative (i.e.,  $c_S > c_G$ ). The dashed black line is plotted at  $c_G = c_S$ . The initial ECM volume fraction ahead of the cells is  $m_0 = 0.5$ , the ECM degradation rate is  $\lambda = 1$ , the switching rate is  $s = 1$ , and the width of the region initially occupied by migrating cells is  $\alpha = 1$  across all simulations. For more information regarding the numerical methods used see Appendix D.

**Table 1**

Table listing the phenotypic switching functions we consider.

Name	$\gamma_{12}(u_1, u_2, m)$	$\gamma_{21}(u_1, u_2, m)$
Constant	$s$	$s$
ECM-dependent	$s(1 - m)$	$sm$
Space-dependent	$s(1 - u_1 - u_2 - m)$	$s(u_1 + u_2 + m)$
Cell-dependent	$s(1 - u_1 - u_2)$	$s(u_1 + u_2)$

Similar to the generalist model, the first term inside the square brackets in Eq. (5) captures undirected movement (i.e., diffusion) of the cells in phenotypic state 1, inhibited by the presence of other cells and ECM, and the second term inside the square brackets describes the movement of cells in phenotypic state 1 down the gradient in the total volume fraction of cells and ECM. The first term on the right-hand side of Eq. (6) describes the growth of cells in phenotypic state 2, as limited by the presence of other cells and ECM. The single term on the right-hand side of Eq. (7) describes degradation of ECM by cells in phenotypic state 1. We consider a number of phenotypic switching functions that incorporate different types of behaviour with a view to understanding their impact on the speed of cell invasion as well as on the structure of the invading wave.

We assume boundary conditions of the form  $u_1 \rightarrow 0$  and  $\partial u_1 / \partial x \rightarrow 0$  as  $x \rightarrow \infty$ . For consistency with the volume-filling assumptions of the model, we also initially assume that

$$u_p(x, 0) = \begin{cases} 0.5, & \text{if } x < \alpha, \\ 0, & \text{if } x \geq \alpha, \end{cases} \quad (8)$$

for  $p \in \{1, 2\}$ . The initial conditions for the ECM volume fraction,  $m(x, 0)$ , are prescribed by Eq. (4), where  $\alpha$  and  $m_0$  have the same

interpretation as in Section 2.1. Furthermore, we note here that the system (1)–(2) and the system (5)–(7) are solved numerically on the domain  $x \in [0, L]$ , where  $L$  is chosen differently between simulations to be sufficiently large such that convergence in the travelling wave speed is observed, and the boundary conditions do not have an effect (see Appendix D). For more information on the method for the numerical calculation of the travelling wave speed,  $c$ , for each simulation, see Appendix D, and note that the solutions in Figs. 3, 5 and 7 are translated into the travelling wave co-ordinate  $z = x - ct$ .

### 2.2.1. Phenotypic switching functions

The phenotypic switching functions we consider,  $\gamma_{12}(u_1, u_2, m)$  and  $\gamma_{21}(u_1, u_2, m)$ , are listed in Table 1. The first is constant switching, at rate  $s \in \mathbb{R}_+$ , between the two sub-populations. It is important to note that when  $s = 0$  there is no switching between the phenotypic states; in this case the model does not permit travelling wave solutions and invasion is not observed. The second, ECM-dependent phenotypic switching, entails cells switching from phenotypic state 1 (2) to phenotypic state 2 (1) at a rate that decreases (increases) linearly with ECM volume fraction, and describes a higher rate of switching to the ECM degrading phenotypic state in regions of higher ECM volume fractions. The third, space-dependent phenotypic switching, is defined such that the rate of switching from phenotypic state 1 (2) to phenotypic state 2 (1) increases (decreases) with the available space,  $1 - u_1 - u_2 - m$ . Finally, cell-dependent phenotypic switching assumes that only the total cell volume fraction impacts switching, with the ECM playing no direct role in driving phenotypic switching. Note that, since  $0 \leq u_1 + u_2 + m \leq 1$ , all the switching functions given in Table 1 are non-negative.



### 3. Results

#### 3.1. Is the speed of migration impacted by the introduction of phenotypic switching?

In reality, many different cell types are known to co-operate to create robust migration, often through the emergence of leader and follower cell phenotypes. For example, in neural crest cell migration, the leader and follower phenotypes generate streams of invading cells [22, 42, 43], whereas during angiogenesis, tip and stalk cells aid in the branching process [44]. To investigate whether robust cell invasion can be observed in a phenotypically heterogeneous specialist population, we explore the dynamics of Eqs. (5)–(7), first in the case of constant switching, at rate  $s > 0$ .

In this case, travelling wave solutions can be observed in the ECM and cell volume fractions, where the two sub-populations are well mixed along the invading front (see the top row of Fig. 3). The bottom row of Fig. 3 shows the difference between the numerically estimated travelling wave speed of a homogeneous generalist population,  $c_G$ , and a heterogeneous specialist population,  $c_S$ , such that green indicates regions of parameter space where the travelling wave speed of the heterogeneous cell population exceeds that of the homogeneous counterpart. The numerically estimated travelling wave speeds  $c_G$  and  $c_S$  are computed as described in Appendix D. The maximum observed speed from either cell population in these model simulations was 0.5, when  $\theta_{G,P} = 0.5$ ,  $\theta_{G,D} = 0$  and  $m_0 < 1$ , and thus the differences between the travelling wave speeds of the homogeneous and heterogeneous populations are observed to be of the same order of magnitude as the numerically estimated travelling wave speeds. We find that specialist cell populations with constant phenotypic switching have a faster travelling wave speed in all cases except when generalists heavily weight their abilities towards cell motility, rather than ECM degradation. However, it is important to note that the maximum possible travelling wave speed for the two models is the same (see Supplementary Material S2.3).

#### 3.2. Does environmentally-dependent phenotypic switching change the speed or structure of migrating fronts?

In reality, cells are able to sense their environment and neighbouring cells, which can both provide cues for directed migration. Variations in the surrounding cells and environment can also cause phenotypic changes within cells that affect their behaviour [45] and thus we extend our study of the heterogeneous specialist population of cells to consider the impact of ECM-, space- and cell-dependent phenotypic switching functions, as defined in Table 1.

**ECM-dependent switching.** We first consider the scenario where cells are able to sense the volume fraction of surrounding ECM which then influences the rate of phenotypic switching. In this case, we find that spatial heterogeneity appears within the travelling wave front (see Fig. 4). Instead of a well-mixed population of cells that degrade and proliferate throughout the invading wave (as is observed for constant phenotypic switching between heterogeneous specialist populations, or for homogeneous generalist populations) we find that the cells in phenotypic state 1 (i.e., the ECM degraders) concentrate at the wave front in the form of a travelling pulse, whereas the bulk of the invading wave is filled by a travelling front of proliferative cells in phenotypic state 2.

**Space-dependent switching.** In line with the volume-filling principles underlying this model (i.e., the fact that cells are unable to move or proliferate in a region that has no available space), we introduce phenotypic switching from phenotypic state 1 (ECM degrader) to phenotypic state 2 (proliferator) at an increasing rate as available space increases (see Table 1). By inspecting Fig. 4 it is clear that the bulk of the travelling wave consists of cells in phenotypic state 1, whereas

cells in phenotypic state 2 concentrate at the migrating front, which is opposite to what is observed for ECM-dependent switching. As a result, there is increased ECM degradation due to a larger proportion of cells in phenotypic state 1, and we see a sharper transition between  $m = 0$  and  $m = m_0$  in the travelling wave as compared to constant, or ECM-dependent, switching (see Fig. 4).

**Cell-dependent switching.** When cells change phenotypic state according to the cell-dependent phenotypic switching function defined in Table 1, a qualitatively similar cell distribution is observed as in the space-dependent switching case, with proliferating cells (phenotypic state 2) at the migrating front and ECM-degrading cells (phenotypic state 1) in the bulk. Subtle differences between the travelling wave profile for ECM- and space-dependent switching can be observed in Fig. 4, including a higher maximum volume fraction of proliferating cells and a steeper travelling wave front in both ECM and total cell volume fractions.

Overall, it is clear that constant speed travelling wave solutions can be observed for the system (5)–(7) subject to any of the switching functions described in Table 1. Furthermore, the functional form of phenotypic switching mechanism chosen influences the distribution of cell phenotypic states within the travelling wave, as schematised in Fig. 5.

#### 3.3. How do the model parameters impact cell migration?

We now analyse how variations in the model parameters impact the numerically observed travelling wave speed, and determine whether similar trends are observed in the generalist and specialist cell populations. In particular, we will consider:

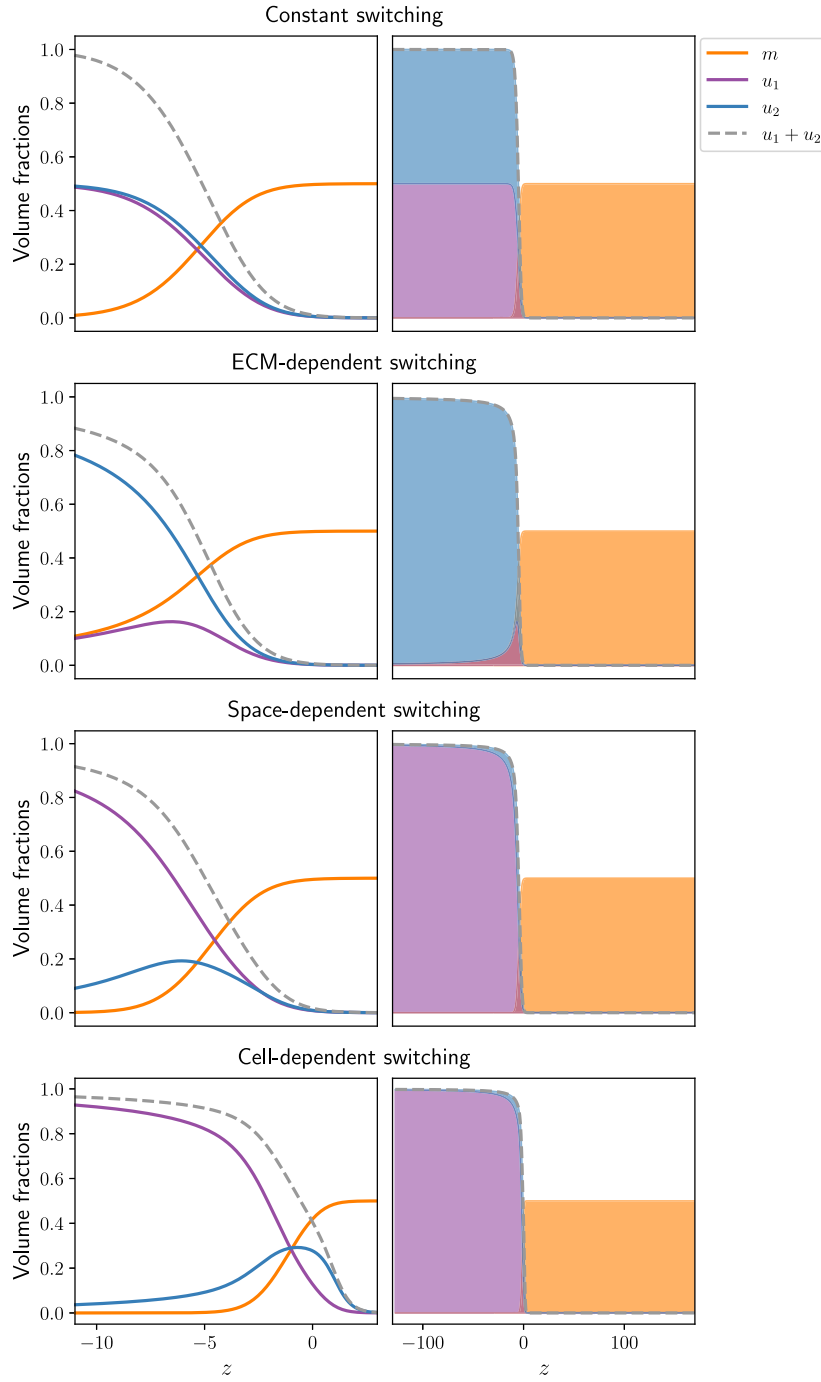
- manipulations of biological parameters specific to the cells, such as the phenotypic switching rate and ECM degradation rate;
- manipulations of the environmental conditions, specifically the ECM volume fraction ahead of the invading wave.

##### 3.3.1. Manipulations of cell parameters

**Variations in the phenotypic switching rate.** Numerical simulations suggest that variations in the switching rate generally have a small impact on the cell migration speed when we employ constant, ECM- or space-dependent switching (for further details, the reader is directed to the Supplementary Material S1, where phenotypic switching at different rates in either direction is also considered). However, when considering cell-dependent switching we find that changing the switching rate has a significant impact on the speed of invasion. Fig. 6 reveals that for low values of the ECM degradation rate,  $\lambda$ , the travelling wave speed increases as the switching rate,  $s$ , decreases and the wave front becomes smoother (see Fig. 7). However, for sufficiently large  $\lambda$ , ECM degradation dominates over phenotypic switching to determine the travelling wave speed, and the maximum invasion speed is reached when  $s = 1$ . The optimal switching rate, in terms of the fastest speed of invasion, is found analytically in Supplementary Material S2.3.

When considering fast phenotypic switching, following ideas in [25], we can formally find expressions for the travelling wave speed in asymptotic regimes of the ECM degradation rate,  $\lambda \rightarrow 0^+$  and  $\lambda \rightarrow \infty$ , that match the wave speed observed in numerical solutions to Eqs. (5)–(7) subject to the initial conditions for the cells in Eq. (8) and for the ECM in Eq. (4) (see Supplementary Material S2).

Despite the phenotypic switching rate,  $s$ , having little quantitative impact on the travelling wave speed for most moderate parameter values across most phenotypic switching mechanisms considered, changing  $s$  does significantly impact the distribution of cells within the travelling wave front in all cases. By looking at the top row of Fig. 7, when we have constant phenotypic switching, we see that increasing the switching rate balances the proportion of cells throughout the wave, which is consistent with analytical results detailed in Supplementary Material S2. As the phenotypic switching rate decreases, however, there

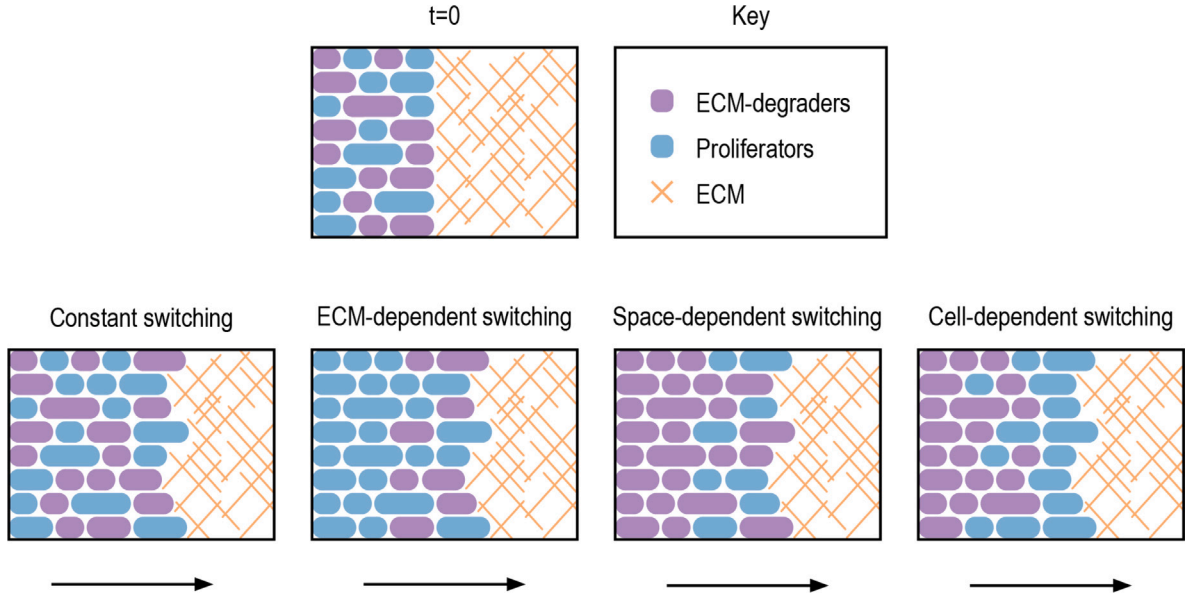


**Fig. 4.** Plots showing the proportion of cells in each phenotypic state and their position in the travelling wave when simulating the system (5)–(7) subject to the initial conditions for the cells as in Eq. (8) and for the ECM as in Eq. (4) and translated into the travelling wave co-ordinate,  $z = x - ct$ , where  $c$  is the numerically estimated travelling wave speed, for each of the phenotypic switching functions in Table 1. In the first column, we show zoomed in profiles at the front of the travelling wave. In the second column, we show the full travelling wave profile, with each constituent shaded. In all plots, the initial ECM volume fraction ahead of the cells is  $m_0 = 0.5$  and the ECM degradation rate is  $\lambda = 1$ . The width of the region initially invaded by migrating cells is  $\alpha = 1$ , the weighting of specialists towards degradation is  $\theta_{S,D} = 0.5$  and the switching rate for all functions is  $s = 1$ . The insets in the column on the left are zoomed in on the travelling wave front. For more information regarding the numerical methods used see Appendix D.

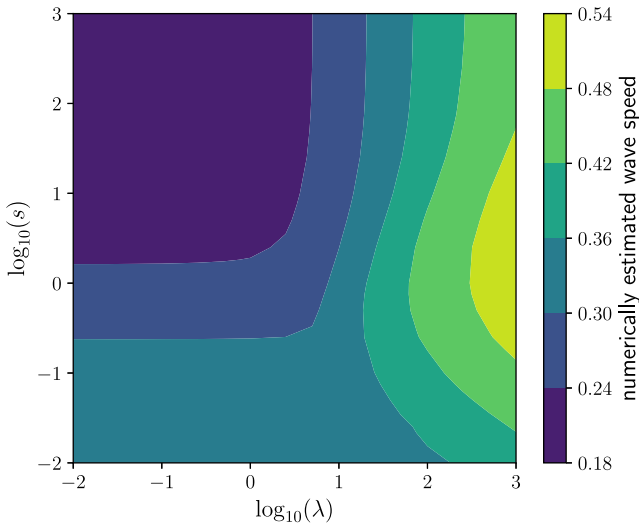
is a larger proportion of cells in phenotypic state 2 at the front of the wave, and a wider travelling wave profile. For ECM-dependent switching, increasing the switching rate increases the proportion of cells in phenotypic state 1 at the wave front, and concentrates them to the front, leading to sharper travelling wave profiles. Alternatively, for space- and cell-dependent phenotypic switching mechanisms, increasing the switching rate reduces the volume fraction of cells in phenotypic state 2. This reduction is larger with space-dependent phenotypic switching. Qualitatively, the travelling wave profiles for space-

and cell-dependent switching are almost identical (see the bottom two rows of Fig. 7), and increasing the switching rate decreases the maximum volume fraction of cells in phenotypic state 2 at the front of the wave, shortening the tail of the travelling pulse and leading to sharper travelling wave profiles in the total volume fraction of cells.

**Variations in ECM degradation rate.** In the model of a homogeneous cell type invading into the ECM (defined by Eqs. (1)–(2)), the shape and speed of the travelling wave changes as the ECM degradation rate varies [25]. Relationships between the rescaled ECM degradation



**Fig. 5.** Schematics demonstrating the possible cell distributions in the travelling waves observed in this numerical study. Note that there are subtle differences between space- and cell-dependent switching, namely that proliferating cells lead the wave of invasion in isolation during cell-dependent switching.



**Fig. 6.** The numerically estimated speed of travelling wave solutions of the system (5)–(7) subject to the initial conditions for the cells in Eq. (8) and for the ECM in Eq. (4), with cell-dependent phenotypic switching (see Table 1), as a function of the phenotypic switching rate,  $s$ , and the rescaled ECM degradation rate,  $\lambda$ . The initial ECM volume fraction ahead of the cells is  $m_0 = 0.5$ , the weighting of the specialists towards degrading ECM is  $\theta_{S,D} = 0.5$  and the width of the region initially invaded by migrating cells is  $\alpha = 1$ . For more information regarding the numerical methods used see Appendix D.

rate in asymptotic regions and travelling wave speed were established previously for the fully non-dimensional model, without weightings, and it was shown that  $c \rightarrow 2^-$  as  $\lambda \rightarrow \infty$  and  $c \rightarrow 2(1 - m_0)$  as  $\lambda \rightarrow 0^+$  [25].

By inspecting Fig. 8, we can see that, across all the switching functions that we consider in this work, an increase in the ECM degradation rate leads to an increase in the numerically estimated travelling wave speed when ECM degradation rates are above a critical value. We also see that as  $\lambda \rightarrow \infty$  convergence in the travelling wave speed to a constant value is observed for constant, space-dependent and cell-dependent switching mechanisms, but to different values. In Supplementary Material S2, we perform a formal analysis of the system (5)–(7) for general switching rates that can differ in either direction. In the

particular case where the switching rate is the same in either direction, we show analytically that, in the fast phenotypic switching regime,  $c \rightarrow (1 - m_0)\sqrt{1 - \theta_{S,D}}$  as  $\lambda \rightarrow 0^+$  and  $c \rightarrow \sqrt{1 - \theta_{S,D}}$  as  $\lambda \rightarrow \infty$  for constant phenotypic switching. Convergence of the numerically estimated travelling wave speed to these values can be seen in Figure S3 of Supplementary Material S2. In contrast to the other switching functions, ECM-dependent switching is far less sensitive to changes in ECM degradation rates at low initial ECM volume fractions ahead of the cells, and convergence of the travelling wave speed to a constant value is not observed within the parameter ranges considered in this work.

From a biological perspective, the limit  $\lambda \rightarrow \infty$  is relevant in describing cells in an aggressive tumour that have a very high ability to degrade ECM, which may enable them to invade much faster. Alternatively, for a sufficiently small product  $\theta_{S,D}\lambda$ , specialist cells in phenotypic state 1 should focus more of their ability on movement (i.e., decrease  $\theta_{S,D}$ ) in order to increase migration speed, since a small change in the ECM degradation rate alone, when below some threshold value, will minimally impact the migration speed.

### 3.3.2. Manipulations of the environmental conditions

For a generalist cell population, it can be shown analytically and numerically that the speed of the travelling wave of migrating cells increases as the initial ECM volume fraction ahead of the cells decreases [25]. Specifically, for small ECM degradation rates (i.e.,  $\lambda \rightarrow 0^+$ ), there is a linear relationship between the travelling wave speed,

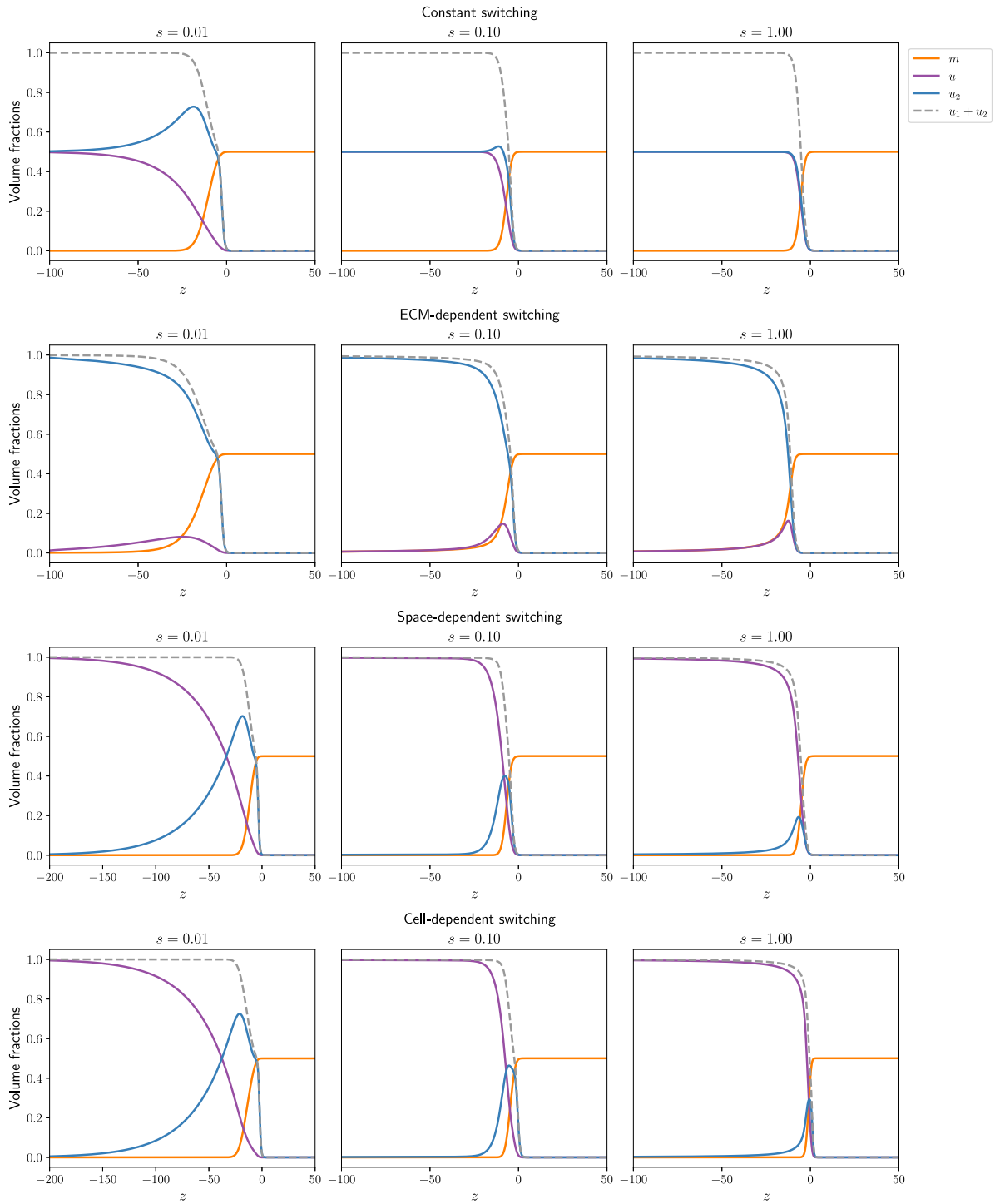
$$c_G = 2(1 - m_0)\sqrt{\theta_{G,P}(1 - \theta_{G,D} - \theta_{G,P})},$$

and the initial volume fraction of ECM ahead of the wave,  $m_0 \in [0, 1]$ .

Examining Fig. 8, it is clear that, across all four phenotypic switching functions considered, the speed of the travelling wave of the specialist cell population increases as the initial ECM volume fraction ahead of the cells decreases. Biologically, a lower ECM volume fraction corresponds to a less densely packed region of ECM ahead of the cells which facilitates faster cell invasion.

## 4. Discussion

Recently, population heterogeneity, such as leaders and followers, has been recognised as an important driver of collective cell migration

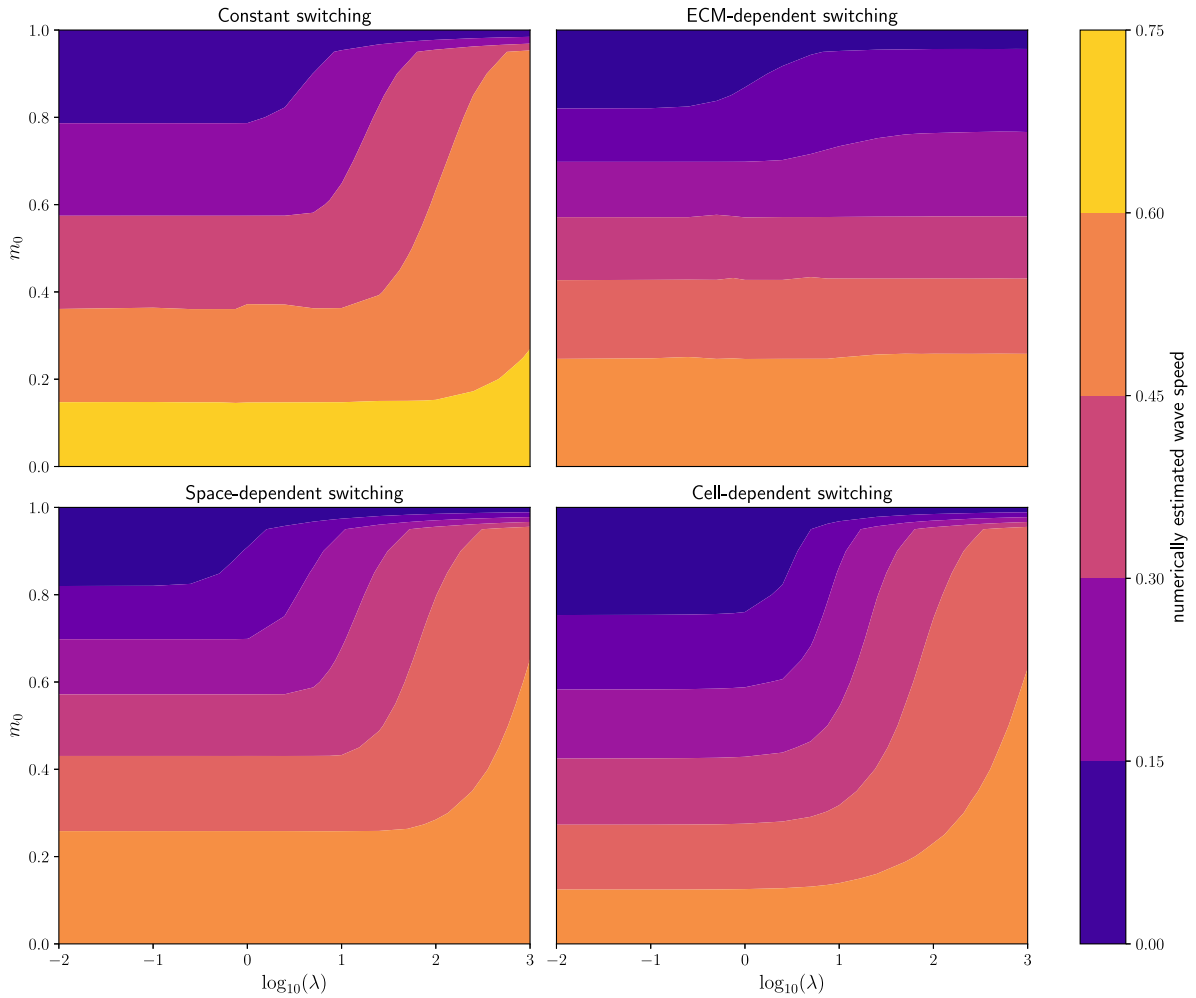


**Fig. 7.** Travelling wave profiles of the solutions of the system (5)–(7) subject to the initial conditions for the cells in Eq. (8) and for the ECM in Eq. (4), plotted as a function of the travelling wave variable  $z = x - ct$ , where  $c$  is the numerically observed constant travelling wave speed. These solutions show that changing the switching rate changes the distribution of the cell phenotypes within the invading wave front. Here, the initial ECM volume fraction ahead of the cells is  $m_0 = 0.5$ , the ECM degradation rate is  $\lambda = 1$ , the weighting of the specialists towards degrading ECM is  $\theta_{s,D} = 0.5$  and the width of the region initially invaded by migrating cells is  $\alpha = 1$  in all cases. For more information regarding the numerical methods used see [Appendix D](#).

and has attracted significant attention [46]. Here, we have extended a model for a homogeneous generalist population of cells migrating into the ECM to explicitly incorporate phenotypic heterogeneity under the migration–proliferation dichotomy. We considered how distinct phenotypic switching mechanisms impact population structure, and the dependence of the travelling wave speed on different biological parameters. Specifically, we considered constant switching, ECM-dependent switching, space-dependent switching and cell-dependent switching.

Initially, we compared a homogeneous cell population to a heterogeneous cell population without phenotypic switching. In this case, it is clear that a specialist cell population without the ability to change its phenotypic state can never outcompete a generalist cell population as the model does not admit travelling wave solutions. Conversely, analysis of the model for a specialist cell population invading into the ECM that includes phenotypic switching shows that a heterogeneous cell population can produce travelling waves of invasion with a faster





**Fig. 8.** Plots summarising the relationship between the numerically estimated speed of travelling wave solutions of Eqs. (5)–(7) subject to the initial conditions for the cells in Eq. (8) and for the ECM in Eq. (4), the initial volume fraction of ECM ahead of the cells,  $m_0$ , and ECM degradation rate,  $\lambda$ . Here, the weighting of the specialists towards degrading ECM is  $\theta_{s,D} = 0.5$ , the switching rate is  $s = 1$  and the width of the region initially invaded by migrating cells is  $\alpha = 1$  in all cases. For more information regarding the numerical methods used see [Appendix D](#).

speed than homogeneous generalist populations that weight their ability towards movement. Moreover, we confirmed that the travelling wave speed in the specialist model, irrespective of the phenotypic switching mechanism, depends qualitatively on the ECM degradation rate and initial ECM volume fraction ahead of the cells in the same manner as for the generalist model.

This work considers phenotypic switching that is equal in either direction, and in this case the travelling wave speed is shown to be independent of the switching rate for constant, ECM- and space-dependent switching. When asymmetric switching rates are considered, both switching rates impact the speed and distribution of phenotypes in the invading cell population (see Supplementary Material S1 and S2). In the case of cell-dependent switching, increasing the switching rate decreases the travelling wave speed.

Biologically, there exist a number of factors that could drive phenotypic switching. For example, direct contact between cell surfaces, or contact on the cell surface from molecules released by neighbouring cells or ECM, which provide information about surrounding cell and ECM volume fractions, might cause phenotypic change [47,48]. This work demonstrates that the mechanism determining the form of phenotypic switching function has a profound impact on the phenotypic structure of the invading cell population. When there is no environmental dependence, a well-mixed population of cells invades into the ECM, with the ratio of cells in phenotypic state 1 to phenotypic state 2 in the bulk being determined by the ratio between the switching rates.

In ECM-dependent switching models, degrading cells in phenotypic state 1 occupy the migrating front, with proliferating cells in the bulk, as observed in many examples of leader–follower dynamics [8] where both sub-populations play an important role in driving cell invasion. Space- and cell-dependent switching models exhibit the opposite distribution, with proliferating cells leading the way, as observed *in vivo* and *in vitro* in melanoma spheroid growth, where proliferative clusters form on the outer edges of a larger bulk cluster consisting of migratory cells [9]. This could be favourable for the population, for example, if there are lower energetic requirements for proliferation in low volume fraction regions. A further extension of this work would be to consider a general switching function combining the influence of both available space and ECM, to find critical parameter values that determine the transition between the degraders or the proliferators leading the invasive population.

The speed of invasion of a cell population alone does not necessarily allow us to distinguish the mechanisms governing collective cell migration. In the case of space- and cell-dependent switching, changing the phenotypic switching rate and observing the changes in the resulting travelling wave speed may indeed be sufficient to distinguish between the two switching mechanisms, where differences in the travelling wave profile are otherwise very subtle. However, in other cases, the spatial structure of each cell sub-population within an invading wave might provide further insights. For instance, examination of a detailed population profile from a tissue biopsy in the direction of migration

could be used as a predictive tool to distinguish the mechanism underlying cell phenotypic switching which could, in turn, be used to help develop therapeutic treatments. Moreover, if such a biopsy revealed cells in one phenotypic state only at the front of the invading wave, this may indicate that the rate of phenotypic switching in one direction far exceeds the rate of phenotypic switching in the opposite direction. For example, in the case of ECM-dependent switching, if a population of primarily ECM-degrading cells is observed at the migrating front, this suggests that phenotypic switching from proliferative to degrading phenotypic state is much faster than from degrading to proliferative. Simulations in Supplementary Material S1 simultaneously revealed a trade-off between population structuring and the travelling wave speed, such that when a single population leads the migrating front, the invasion speed is reduced. This suggests that both the spatial profile and speed of invasion are required to distinguish the underlying phenotypic switching mechanisms and that further possible therapeutic treatments could be developed to slow tumour growth by preventing switching in one direction, or to speed up wound healing or developmental processes by initiating symmetrical switching.

There are various possible extensions to this work. The biological applicability could be expanded by varying the underlying assumptions of the model and including other possible factors influencing collective cell migration, such as haptotaxis or chemotaxis. By tailoring this model to a particular biological application, future work could also explore validating the model predictions, estimating model parameters, and establishing the specific form of the phenotypic switching functions by examining appropriate histology data. Furthermore, the current study looks exclusively at these populations in one spatial dimension, and a future avenue for exploration could be to extend the model to two or more dimensions. In higher dimensions it would be interesting to investigate the stability of the invading front or the presence of spatial structure, such as “fingering”, which has previously been observed in models of tumour growth containing sub-populations with different mobility [49,50] and for tumour growth into heterogeneous ECM [51].

In the specialist population model, it is unclear how to compare the models with different switching functions since, in the case of ECM-, space- and cell-dependent switching, the switching rate,  $s$ , is rescaled by a term bounded in  $[0, 1]$  varying in time. This suggests a further extension of this work to include energetic costs of proliferation, movement, ECM degradation and phenotypic switching between states that allows for a more biologically conclusive investigation of which form of phenotypic switching function generates the highest rate of cell invasion. It would also be of interest to compare these model results to those from the underlying discrete model and to perform analysis to derive an explicit expression for the travelling wave speed as a function of all the model parameters, defining the parameter space wherein the numerically estimated travelling wave speed matches that predicted by analysis. Additionally, it appears that for all of the phenotypic switching mechanisms considered, the travelling wave speed depends linearly on the initial ECM volume fraction and is independent of  $\lambda$  for  $\lambda \leq \lambda_c$ , where  $\lambda_c$  is a critical value. Future work might also seek to determine this critical value explicitly using asymptotic or boundary layer analysis, by considering a thin layer of cells around the wavefront at the interface with the ECM, which becomes sharp for large values of  $\lambda$ .

In conclusion, understanding the phenotypic structure of invading cellular collectives is an important objective, attracting significant research over recent years. The model presented in this study, whilst clearly simple, provides compelling insights associated with the speed and structure of heterogeneous cell invasion into the ECM under various phenotypic switching mechanisms, and provides a basis for more complex and detailed model development and analysis in the future.

#### CRediT authorship contribution statement

**Rebecca M. Crossley:** Writing – review & editing, Writing – original draft, Visualization, Software, Methodology, Formal analysis, Conceptualization. **Kevin J. Painter:** Writing – review & editing, Methodology,

Conceptualization. **Tommaso Lorenzi:** Writing – review & editing, Methodology, Formal analysis, Conceptualization. **Philip K. Maini:** Writing – review & editing, Methodology, Formal analysis, Conceptualization. **Ruth E. Baker:** Writing – review & editing, Methodology, Formal analysis, Conceptualization.

#### Declaration of competing interest

The authors do not have any competing interests to declare.

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#### Appendix A. Formal derivation of the continuum model (5)–(7) from an underlying individual-based model

We begin by developing a simple one-dimensional, on-lattice, individual-based model of two distinct cell phenotypes invading into the ECM, in the presence of volume-filling effects, where motility and proliferation are reduced in higher density regions of space. Volume-filling effects warrant being accounted for in this way since research shows that cells and ECM both regulate cell movement and proliferation. It was experimentally demonstrated that these effects are indeed inhibitory in high density regions, and stimulatory in low ECM regions [52–55]. This model derivation follows directly from the ideas in [25] extended to multiple cell sub-populations, and uses mean-field assumption ideas [56] from multi-species exclusion processes [57]. Following the go-or-grow assumption studied in [13,40], we consider a phenotypic trade-off between cells that are degrading the ECM and migrating, and those that are proliferating, where those that proliferate do not move. We then coarse-grain this model to formally derive a corresponding PDE model that comprises a system of coupled differential equations for the densities of cells and ECM.

##### A.1. Individual-based model

In this model, cells are represented as discrete individuals with finite volume. We consider cells with the ability to change phenotypic

state that move randomly on a one-dimensional uniform lattice, which constitutes the spatial domain, proliferate, and degrade the surrounding ECM, which is composed of discrete elements of the same volume as the cells.

Let the number of cells of phenotype  $i = \{1, 2\}$  and ECM elements in lattice site  $j = 1, \dots, J$  of width  $\Delta$ , at time  $\tilde{t} \in \mathbb{R}_+$  of realisation  $r = 1, \dots, R$  of the model be denoted by  $u_{i,j}^r(\tilde{t})$  and  $m_j^r(\tilde{t})$ , respectively.

*Occupancy level of the lattice sites.* In order to incorporate volume-filling effects into the model, we prescribe that each lattice site has a maximum total occupancy level of  $N$  cells and ECM elements, so that

$$0 \leq \sum_{i=1}^2 u_{i,j}^r(\tilde{t}) + m_j^r(\tilde{t}) \leq N.$$

*Probability of cell movement.* A cell with phenotype  $i$  will attempt a movement event in a time step  $\tau$  with probability  $p_{i,m} \in [0, 1]$ , whereby the attempted movement from lattice site  $j$  to either of the neighbouring lattice sites  $j \pm 1$  occurs with equal probability  $1/2$ . We assume that the probability of a successful move decreases linearly with the occupancy level of the target site, such that it is zero when the target site is full, and one when it is empty. Hence, we define the probability of a movement to the left,  $T_{j-}^{i,m^r}(\tilde{t})$ , or right,  $T_{j+}^{i,m^r}(\tilde{t})$ , in  $[\tilde{t}, \tilde{t} + \tau)$  of realisation  $r$  as

$$T_{j\pm}^{i,m^r}(\tilde{t}) = \frac{p_{i,m}}{2} \left( 1 - \frac{\sum_{i=1}^2 u_{i,j\pm 1}^r(\tilde{t}) + m_{j\pm 1}^r(\tilde{t})}{N} \right).$$

Zero flux boundary conditions are implemented such that any attempted move outside of the spatial domain is aborted.

*Probability of cell proliferation.* A cell with phenotype  $i$  in lattice site  $j$  attempts a proliferation event, placing a daughter cell of equal size into the same lattice site, during time step  $\tau$  with probability  $p_{i,p} \in [0, 1]$ . We assume the probability of a successful proliferation event, where one cell is replaced by two daughter cells with the same heritable phenotypic state as the parent cell, decreases linearly with the occupancy level of the lattice site, such that the probability of a successful proliferation event,  $T_j^{i,p^r}(\tilde{t})$ , in time interval  $[\tilde{t}, \tilde{t} + \tau)$  of realisation  $r$  of the model is

$$T_j^{i,p^r}(\tilde{t}) = p_{i,p} \left( 1 - \frac{\sum_{i=1}^2 u_{i,j}^r(\tilde{t}) + m_j^r(\tilde{t})}{N} \right).$$

*Probability of cell phenotype change.* During time interval  $[\tilde{t}, \tilde{t} + \tau)$  of realisation  $r$  of the model, a cell with phenotype  $i$  will change to phenotype  $l$  with probability

$$f_{i \rightarrow l}(u_{1,j}^r(\tilde{t}), u_{2,j}^r(\tilde{t}), m_j^r(\tilde{t})),$$

where  $f_{i \rightarrow l} : \mathbb{R}_+^3 \rightarrow [0, 1]$ .

*Probability of ECM degradation.* During the time interval  $[\tilde{t}, \tilde{t} + \tau)$  of realisation  $r$ , an element of ECM in lattice site  $j$  is degraded by cells with phenotype  $i$  in the same lattice site with a probability  $p_{i,d} \in [0, 1]$ . Therefore the overall degradation rate per unit element of ECM by cells with phenotype  $i$ ,  $T_j^{i,d^r}(\tilde{t})$ , is

$$T_j^{i,d^r}(\tilde{t}) = p_{i,d} u_{i,j}^r(\tilde{t}).$$

## A.2. Coarse-grained model

To derive a coarse-grained description of the individual-based model, we introduce the average occupancy of each lattice site  $j$  by cells of type  $i = \{1, 2\}$  and ECM at time  $\tilde{t}$  over  $R$  total realisations of the model as

$$\langle u_{i,j}(\tilde{t}) \rangle = \frac{1}{R} \sum_{r=1}^R u_{i,j}^r(\tilde{t}) \quad \text{and} \quad \langle m_j(\tilde{t}) \rangle = \frac{1}{R} \sum_{r=1}^R m_j^r(\tilde{t}).$$

*Coarse-grained model of cell dynamics.* We write a conservation equation using mean-field approximations and independence of lattice sites by considering changes in the average occupancy in the lattice site  $j$  during the time interval  $[\tilde{t}, \tilde{t} + \tau)$  to give:

$$\begin{aligned} \langle u_{i,j}(\tilde{t} + \tau) \rangle &= \langle u_{i,j}(\tilde{t}) \rangle + \frac{p_{i,m}}{2} \langle u_{i,j+1}(\tilde{t}) \rangle \left( 1 - \frac{\sum_{i=1}^2 \langle u_{i,j}(\tilde{t}) \rangle + \langle m_j(\tilde{t}) \rangle}{N} \right) \\ &\quad + \frac{p_{i,m}}{2} \langle u_{i,j-1}(\tilde{t}) \rangle \left( 1 - \frac{\sum_{i=1}^2 \langle u_{i,j}(\tilde{t}) \rangle + \langle m_j(\tilde{t}) \rangle}{N} \right) \\ &\quad - \frac{p_{i,m}}{2} \langle u_{i,j}(\tilde{t}) \rangle \left( 1 - \frac{\sum_{i=1}^2 \langle u_{i,j+1}(\tilde{t}) \rangle + \langle m_{j+1}(\tilde{t}) \rangle}{N} \right) \\ &\quad - \frac{p_{i,m}}{2} \langle u_{i,j}(\tilde{t}) \rangle \left( 1 - \frac{\sum_{i=1}^2 \langle u_{i,j-1}(\tilde{t}) \rangle + \langle m_{j-1}(\tilde{t}) \rangle}{N} \right) \\ &\quad + p_{i,p} \langle u_{i,j}(\tilde{t}) \rangle \left( 1 - \frac{\sum_{i=1}^2 \langle u_{i,j}(\tilde{t}) \rangle + \langle m_j(\tilde{t}) \rangle}{N} \right) \\ &\quad - f_{i \rightarrow l}(\langle u_{1,j}(\tilde{t}) \rangle, \langle u_{2,j}(\tilde{t}) \rangle, \langle m_j(\tilde{t}) \rangle) \langle u_{i,j}(\tilde{t}) \rangle \\ &\quad + f_{l \rightarrow i}(\langle u_{1,j}(\tilde{t}) \rangle, \langle u_{2,j}(\tilde{t}) \rangle, \langle m_j(\tilde{t}) \rangle) \langle u_{i,j}(\tilde{t}) \rangle. \end{aligned} \quad (\text{A.1})$$

Rearranging Eq. (A.1), and dividing by  $\tau$ , we find Eq. (A.2) that is given in Box I.

Dividing Eq. (A.2) by  $\Delta$  and Taylor expanding both sides, before taking limits as  $\Delta, \tau \rightarrow 0$ , we obtain a description for cell density dynamics in terms of variables  $\tilde{u}_i(\tilde{x}, \tilde{t})$  and  $\tilde{m}(\tilde{x}, \tilde{t})$ , which are the continuum equivalents of the number density of cells,  $\langle u_{i,j}(\tilde{t}) \rangle / \Delta$ , and the density of ECM,  $\langle m_j(\tilde{t}) \rangle / (\tilde{\mu} \Delta)$ , at  $\tilde{x} \in \mathbb{R}$ ,  $\tilde{t} \in \mathbb{R}_+$ , respectively, where  $\tilde{\mu}$  represents the number of cells equivalent to a unit mass of ECM and serves as a conversion factor between the density of ECM, as defined by mass of ECM per unit volume, and the number density of ECM elements, given by  $\tilde{\mu} \tilde{m}(\tilde{x}, \tilde{t})$ . Under the following scalings:

$$\begin{aligned} \lim_{\Delta \rightarrow 0} \frac{N}{\Delta} &= \tilde{K}, \quad \lim_{\tau \rightarrow 0} \frac{p_{i,p}}{\tau} = \tilde{r}_i, \quad \lim_{\Delta, \tau \rightarrow 0} \frac{p_{i,m} \Delta^2}{\tau} = \tilde{D}_i, \\ \lim_{\tau \rightarrow 0} \frac{1}{\tau} f_{i \rightarrow l}(\langle u_{1,j}(\tilde{t}) \rangle, \langle u_{2,j}(\tilde{t}) \rangle, \langle m_j(\tilde{t}) \rangle) &= \tilde{\gamma}_{il}(\tilde{u}_1, \tilde{u}_2, \tilde{m}), \end{aligned}$$

for all  $i, l = \{1, 2\}$ ,  $i \neq l$ , we find

$$\begin{aligned} \frac{\partial \tilde{u}_i}{\partial \tilde{t}} &= \tilde{D}_i \left[ \left( 1 - \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \frac{\partial^2 \tilde{u}_i}{\partial \tilde{x}^2} + \tilde{u}_i \frac{\partial^2}{\partial \tilde{x}^2} \left( \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \right] \\ &\quad + \tilde{r}_i \tilde{u}_i \left( 1 - \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \\ &\quad - \tilde{\gamma}_{il}(\tilde{u}_1, \tilde{u}_2, \tilde{m}) \tilde{u}_i + \tilde{\gamma}_{li}(\tilde{u}_1, \tilde{u}_2, \tilde{m}) \tilde{u}_l, \\ &= \tilde{D}_i \frac{\partial}{\partial \tilde{x}} \left[ \left( 1 - \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \frac{\partial \tilde{u}_i}{\partial \tilde{x}} + \tilde{u}_i \frac{\partial}{\partial \tilde{x}} \left( \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \right] \\ &\quad + \tilde{r}_i \tilde{u}_i \left( 1 - \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \\ &\quad - \tilde{\gamma}_{il}(\tilde{u}_1, \tilde{u}_2, \tilde{m}) \tilde{u}_i + \tilde{\gamma}_{li}(\tilde{u}_1, \tilde{u}_2, \tilde{m}) \tilde{u}_l, \end{aligned}$$

where  $\tilde{x} \in \mathbb{R}$  and  $\tilde{t} \in \mathbb{R}_+$ .

*Coarse-grained model of ECM dynamics.* In the same way, using probabilistic assumptions of mean-field type, we can write the following conservation equation for the evolution of ECM elements in a lattice site  $j$  over the time interval  $[\tilde{t}, \tilde{t} + \tau)$ :

$$\langle m_j(\tilde{t} + \tau) \rangle = \langle m_j(\tilde{t}) \rangle - \sum_{i=1}^2 p_{i,d} \langle u_{i,j}(\tilde{t}) \rangle \langle m_j(\tilde{t}) \rangle. \quad (\text{A.3})$$

By rearranging Eq. (A.3), dividing by  $\Delta$  and  $\tau$  and taking limits as  $\Delta, \tau \rightarrow 0$  under the scaling

$$\tilde{\lambda}_i = \lim_{\Delta, \tau \rightarrow 0} \frac{\Delta p_{i,d}}{\tau},$$

$$\begin{aligned}
& \frac{\langle u_{i,j}(\tilde{t} + \tau) \rangle - \langle u_{i,j}(\tilde{t}) \rangle}{\tau} \\
&= \frac{p_{i,m} \Delta^2}{2\tau} \left( 1 - \frac{\sum_{j=1}^2 \langle u_{i,j}(\tilde{t}) \rangle + \langle m_j(\tilde{t}) \rangle}{N} \right) \left[ \frac{\langle u_{i,j+1}(\tilde{t}) \rangle - 2\langle u_{i,j}(\tilde{t}) \rangle + \langle u_{i,j-1}(\tilde{t}) \rangle}{\Delta^2} \right] \\
&+ \frac{p_{i,m} \Delta^2}{2\tau} \langle u_{i,j}(\tilde{t}) \rangle \left[ \frac{(\sum_{j=1}^2 \langle u_{i,j+1}(\tilde{t}) \rangle + \langle m_{j+1}(\tilde{t}) \rangle) - 2(\sum_{j=1}^2 \langle u_{i,j}(\tilde{t}) \rangle + \langle m_j(\tilde{t}) \rangle) + (\sum_{j=1}^2 \langle u_{i,j-1}(\tilde{t}) \rangle + \langle m_{j-1}(\tilde{t}) \rangle)}{\Delta^2} \right] \\
&+ \frac{p_{i,p}}{\tau} \langle u_{i,j}(\tilde{t}) \rangle \left( 1 - \frac{\sum_{j=1}^2 \langle u_{i,j}(\tilde{t}) \rangle + \langle m_j(\tilde{t}) \rangle}{N} \right) - \frac{1}{\tau} f_{i \rightarrow l}(\langle u_{1,j}(\tilde{t}) \rangle, \langle u_{2,j}(\tilde{t}) \rangle, \langle m_j(\tilde{t}) \rangle) \langle u_{i,j}(\tilde{t}) \rangle \\
&+ \frac{1}{\tau} f_{l \rightarrow i}(\langle u_{1,j}(\tilde{t}) \rangle, \langle u_{2,j}(\tilde{t}) \rangle, \langle m_j(\tilde{t}) \rangle) \langle u_{l,j}(\tilde{t}) \rangle.
\end{aligned} \tag{A.2}$$

## Box 1.

we obtain the following differential equation governing the dynamics of ECM density  $\tilde{m}(\tilde{x}, \tilde{t})$  over time:

$$\frac{\partial \tilde{m}}{\partial \tilde{t}} = - \sum_{i=1}^2 \tilde{\lambda}_i \tilde{m} \tilde{u}_i,$$

where  $\tilde{x} \in \mathbb{R}$  and  $\tilde{t} \in \mathbb{R}_+$ . The parameter  $\tilde{\lambda}_i \geq 0$  describes the degradation rate of ECM per cell in phenotypic state  $i$ .

**Full system of equations.** For phenotypes  $i = \{1, 2\}$ ,  $i \neq l$ , the full system of equations at population level is given by:

$$\begin{aligned}
\frac{\partial \tilde{u}_i}{\partial \tilde{t}} &= \tilde{D}_i \frac{\partial}{\partial \tilde{x}} \left[ \left( 1 - \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \frac{\partial \tilde{u}_i}{\partial \tilde{x}} + \tilde{u}_i \frac{\partial}{\partial \tilde{x}} \left( \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \right] \\
&+ \tilde{r}_i \tilde{u}_i \left( 1 - \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \\
&- \tilde{\gamma}_{il}(\tilde{u}_1, \tilde{u}_2, \tilde{m}) \tilde{u}_i + \tilde{\gamma}_{li}(\tilde{u}_1, \tilde{u}_2, \tilde{m}) \tilde{u}_l, \\
\frac{\partial \tilde{m}}{\partial \tilde{t}} &= - \sum_{i=1}^2 \tilde{\lambda}_i \tilde{m} \tilde{u}_i.
\end{aligned}$$

## A.3. Two populations of specialists with volume-filling

Now consider the case where cells in phenotypic state 1 can move and degrade ECM only, and cells in phenotypic state 2 are only able to proliferate, following the go-or-grow hypothesis. We write  $\tilde{\lambda}_i$  as the rate of ECM degradation and  $\tilde{r}_i$  as the proliferation rate of cells in phenotypic state  $i$  and assume

$$\tilde{\lambda}_2 = 0, \quad \tilde{r}_1 = 0, \quad \tilde{D}_2 = 0, \quad \tilde{\lambda}_1 \in \mathbb{R}_+ \quad \text{and} \quad \tilde{r}_2 \in \mathbb{R}_+.$$

Without loss of generality, we assume that cells have a total weighting,  $T = 1$ , to distribute across the mechanisms governing the cells' migration, irrelevant of their phenotypic state. As such, we introduce  $\theta_{S,D} \in [0, T]$  to describe the weighting of cells in phenotypic state 1 towards degrading ECM, and  $(T - \theta_{S,D})$  describes the remaining weighting for movement.

Under the aforementioned assumptions, the following system of differential equations describes the evolution of cell and ECM densities over time:

$$\begin{aligned}
\frac{\partial \tilde{u}_1}{\partial \tilde{t}} &= (1 - \theta_{S,D}) \tilde{D}_1 \frac{\partial}{\partial \tilde{x}} \left[ \left( 1 - \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \frac{\partial \tilde{u}_1}{\partial \tilde{x}} \right. \\
&\quad \left. + \tilde{u}_1 \frac{\partial}{\partial \tilde{x}} \left( \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \right] \\
&+ \tilde{u}_2 \tilde{\gamma}_{21}(\tilde{u}_1, \tilde{u}_2, \tilde{m}) - \tilde{u}_1 \tilde{\gamma}_{12}(\tilde{u}_1, \tilde{u}_2, \tilde{m}),
\end{aligned} \tag{A.4}$$

$$\begin{aligned}
\frac{\partial \tilde{u}_2}{\partial \tilde{t}} &= \tilde{r}_2 \tilde{u}_2 \left( 1 - \frac{\sum_{i=1}^2 \tilde{u}_i + \tilde{\mu} \tilde{m}}{\tilde{K}} \right) \\
&- \tilde{u}_2 \tilde{\gamma}_{21}(\tilde{u}_1, \tilde{u}_2, \tilde{m}) + \tilde{u}_1 \tilde{\gamma}_{12}(\tilde{u}_1, \tilde{u}_2, \tilde{m}),
\end{aligned} \tag{A.5}$$

$$\frac{\partial \tilde{m}}{\partial \tilde{t}} = -\theta_{S,D} \tilde{\lambda}_1 \tilde{m} \tilde{u}_1, \tag{A.6}$$

where the diffusion coefficient of cells of type 1 is given by  $\tilde{D}_1 \in \mathbb{R}_+$ , the switching between cell phenotypic states  $p \in \{1, 2\}$  is given by the functions

$$\tilde{\gamma}_{12} : \mathbb{R}_+^3 \rightarrow \mathbb{R}_+ \quad \text{and} \quad \tilde{\gamma}_{21} : \mathbb{R}_+^3 \rightarrow \mathbb{R}_+,$$

where  $\tilde{\gamma}_{12}$  represents the rate of switching from phenotypic state 1 to 2, and  $\tilde{\gamma}_{21}$  represents the rate of switching from phenotypic state 2 to 1,  $\theta_{S,D} \in [0, 1]$  is the weighting of cells in phenotypic state 1 to degrade ECM, and  $\tilde{\lambda}_1 \in \mathbb{R}$  is the ECM degradation rate by cells in phenotypic state 1 and  $\tilde{x} \in \mathbb{R}$ .

## Appendix B. Non-dimensionalisation of Eqs. (A.4)–(A.6)

Without loss of generality, we introduce the following non-dimensional variables:

$$x = \sqrt{\frac{\tilde{r}_2}{\tilde{D}_1}} \tilde{x}, \quad t = \tilde{r}_2 \tilde{t}, \quad u_1 = \frac{\tilde{u}_1}{\tilde{K}}, \quad u_2 = \frac{\tilde{u}_2}{\tilde{K}}, \quad m = \frac{\tilde{\mu} \tilde{m}}{\tilde{K}},$$

alongside the following non-dimensional form of the switching functions

$$\gamma_{12} = \gamma_{12}(u_1, u_2, m) = \frac{1}{\tilde{r}_2} \tilde{\gamma}_{12}(\tilde{u}_1, \tilde{u}_2, \tilde{m}),$$

$$\gamma_{21} = \gamma_{21}(u_1, u_2, m) = \frac{1}{\tilde{r}_2} \tilde{\gamma}_{21}(\tilde{u}_1, \tilde{u}_2, \tilde{m}),$$

which, substituting into Eqs. (A.4)–(A.6), yields the non-dimensional system

$$\begin{aligned}
\frac{\partial u_1}{\partial t} &= (1 - \theta_{S,D}) \frac{\partial}{\partial x} \left[ (1 - u_1 - u_2 - m) \frac{\partial u_1}{\partial x} + u_1 \frac{\partial}{\partial x} (u_1 + u_2 + m) \right] \\
&+ u_2 \gamma_{21}(u_1, u_2, m) - u_1 \gamma_{12}(u_1, u_2, m),
\end{aligned}$$

$$\frac{\partial u_2}{\partial t} = u_2 (1 - u_1 - u_2 - m)$$

$$- u_2 \gamma_{21}(u_1, u_2, m) + u_1 \gamma_{12}(u_1, u_2, m),$$

$$\frac{\partial m}{\partial t} = -\theta_{S,D} \lambda m u_1,$$

where we have introduced the non-dimensional parameter

$$\lambda = \frac{\tilde{\lambda}_1 \tilde{K}}{\tilde{r}_2},$$

representing the rescaled ECM degradation rate, noting that  $\theta_{S,D} \in [0, 1]$  is already a dimensionless parameter.

## Appendix C. Spatially homogeneous steady states

In this section, we perform a steady state analysis for the system (5)–(7) subject to each of the phenotypic switching functions listed in

**Table 1.** Since we are interested in travelling wave solutions, we seek spatially homogeneous steady states.

For all phenotypic switching mechanisms we consider, the spatially homogeneous steady states satisfy

$$u_2(1 - u_1 - u_2 - m) = 0, \quad (\text{C.1})$$

$$mu_1 = 0. \quad (\text{C.2})$$

Eq. (C.2) implies that either  $m = 0$ , or  $u_1 = 0$ , and Eq (C.1) gives  $u_2 = 0$  or  $u_1 + u_2 + m = 1$ .

### C.1. Constant switching

For the case that  $\gamma_{12}(u_1, u_2, m) = \gamma_{21}(u_1, u_2, m) = s$ , the spatially homogeneous steady states of the system (5)–(7) also satisfy

$$-u_1 + u_2 = 0,$$

and the continuum of spatially homogeneous steady states is given by

$$\mathcal{A}_1 := (u_1^*, u_2^*, m^*) = (0, 0, \bar{m}),$$

$$\mathcal{A}_2 := (u_1^*, u_2^*, m^*) = (\bar{u}_1, \bar{u}_2, 0),$$

where  $\bar{u}_1, \bar{u}_2, \bar{m} \in [0, 1]$ , prescribed by initial conditions, and  $\bar{u}_1 = \bar{u}_2 = 0.5$  (see Supplementary Material S1 for values of  $\bar{u}_1$  and  $\bar{u}_2$  when switching rates differ in either direction). The steady state  $\mathcal{A}_1$  describes the case with no cells present and only ECM. Alternatively,  $\mathcal{A}_2$  describes a mixed population of cells, and no ECM, where the ratio between cells in phenotypic state 1 and 2 is determined by the phenotypic switching rates in either direction (see Supplementary Fig. S1).

### C.2. ECM-dependent switching

When we consider ECM-dependent switching, we find that the spatially homogeneous steady states must satisfy Eqs. (C.1) and (C.2) along with

$$-u_1(1 - m) + u_2m = 0.$$

By the same arguments as before, the resulting steady states are given by

$$B_1 := (u_1^*, u_2^*, m^*) = (0, 0, \bar{m}),$$

$$B_2 := (u_1^*, u_2^*, m^*) = (0, 1, 0).$$

Once again, the steady state described by no cells and only ECM (far ahead of the travelling wave) is given by  $B_1$ , but now  $B_2$  describes a steady state consisting only of cells in phenotypic state 2.

### C.3. Space-dependent switching

By considering phenotypic switching dependent on available space, the spatially homogeneous steady states satisfy

$$-su_1(1 - u_1 - u_2 - m) + su_2(u_1 + u_2 + m) = 0,$$

such that the spatially homogeneous steady states are given by

$$C_1 := (u_1^*, u_2^*, m^*) = (0, 0, \bar{m}), \quad (\text{C.3})$$

$$C_2 := (u_1^*, u_2^*, m^*) = (1, 0, 0). \quad (\text{C.4})$$

$C_1$  is the same steady state described for constant and ECM-dependent switching, where only ECM is present.  $C_2$  represents a steady state with only cells in phenotypic state 1, and no cells in phenotypic state 2 or ECM present.

### C.4. Cell-dependent switching

Finally, the spatially homogeneous steady states under cell-dependent phenotypic switching satisfy Eqs. (C.1)–(C.2) and

$$-su_1(1 - u_1 - u_2) + su_2(u_1 + u_2) = 0,$$

to give the spatially homogeneous steady states,  $C_1$  and  $C_2$  (see Eqs. (C.3) and (C.4)), that are the same as those observed under space-dependent switching.

## Appendix D. Numerical simulation methods

The system (5)–(7) subject to zero flux boundary conditions and initial conditions for the cells as in Eq. (8), and for the ECM as in Eq. (4), are solved numerically using the method of lines on a one-dimensional spatial domain  $x \in [0, L]$ , where  $L > 0$  is chosen to be sufficiently large to remove the impacts of the boundary conditions and enable convergence to a constant speed travelling wave.

To employ the method of lines, the spatial domain is uniformly discretised into  $Q$  spatial points, with separation  $h$ . An explicit central differencing scheme, as described in [58], is then employed to solve the system, taking the following form:

$$\frac{\partial}{\partial x} \left[ D \frac{\partial a}{\partial x} \right] \approx \frac{1}{2h^2} \left[ (D_{q-1} + D_q)a_{q-1} + (D_1 + D_{Q+1})a_{q+1} - (D_{q-1} + 2D_q + D_{q+1})a_q \right],$$

where  $a_q$  represents the value of the function  $a$  at the spatial point  $x_q$ . The system (5)–(7) can then be re-written as a system of  $3Q$  ordinary differential equations, which is solved with zero flux boundary conditions by simulating the ghost points  $x_{-1}$  and  $x_{Q+1}$  outside of the initial spatial domain, as described in [59]. The remaining system of equations, which has been discretised in space, is then solved numerically in python using the built-in solver `scipy.integrate.solve_ivp` with the explicit Runge–Kutta integration method of order 5 and time step  $\Delta t = 0.1$ .

To estimate the wave speeds numerically, for each time point that we save a solution, we interpolate to find  $X(t)$  such that

$$u_1(X(t), t) + u_2(X(t), t) = y^* \in (0, 1),$$

where we choose  $y^* = 0.1$  arbitrarily and then calculate

$$c_{\text{estimated}}(t, t + \Delta t) = \frac{X(t + \Delta t) - X(t)}{\Delta t}.$$

When the calculated wave speeds are observed to have converged to a constant speed, such that the difference between two subsequent measurements is of an order smaller than the order of error of the numerical scheme, we record this as the travelling wave speed estimated numerically.

## Appendix E. Supplementary data

Supplementary material related to this article can be found online at <https://doi.org/10.1016/j.mbs.2024.109240>.

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